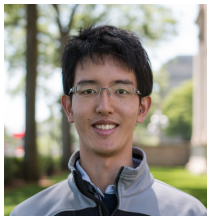


# On Dynamic Critical Exponents of Gapless Frustration-free Systems

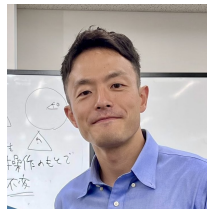
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December 20, 2024 @ISSP



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(Univ. of Tokyo)

1. Introduction
2. Rigorous lower bound on dynamic critical exponents
3. Generalized Rokhsar–Kivelson Hamiltonians and Markov processes
4. Frustration-free field theory
5. Summary and open questions

## 1. Introduction

2. Rigorous lower bound on dynamic critical exponents

3. Generalized Rokhsar–Kivelson Hamiltonians and Markov processes

4. Frustration-free field theory

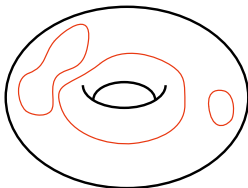
5. Summary and open questions

## Solvable models:

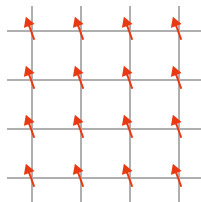
- Free fields, integrable models, conformal field theories
- Frustration-free (FF) systems



Affleck-Kennedy-  
Lieb-Tasaki model



Toric code



ferromagnetic Heisenberg

## Today's topic

Frustration-freeness serves as a characterization of gapless phases.

### Definition 1. Frustration-freeness

A Hamiltonian  $H$  is called frustration-free (FF) if there exists a decomposition

$$H = \sum_i H_i + \text{const.} \quad (1.1)$$

such that the ground state (GS) minimizes each  $H_i$  simultaneously. We can assume  $H_i \succeq 0$  (positive semidefinite). Then frustration-freeness is equivalent to

$$H_i |\text{GS}\rangle = 0, \quad \forall i. \quad (1.2)$$

However, this definition is meaningless.

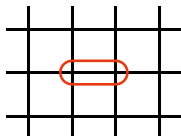
## Definition of FF systems

Trivial decomposition:  $H = H$ .

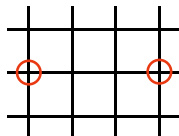
→ Restrictions must be imposed on the decomposition of  $H$ .

### Definition 2. $k$ -Locality

We assume each  $H_i$  is  $k$ -local for a finite  $k$ , which means  $H_i$  acts non-trivially only on connected  $k$  sites.



2-local



4-local

### ■ 1+1D kinetic Ising model

Locally favored states:

$$|\psi_1\rangle := \frac{1}{\sqrt{\cosh(2\beta)}}(e^\beta|000\rangle + e^{-\beta}|010\rangle), \quad |\psi_2\rangle := \frac{1}{\sqrt{2}}(|001\rangle + |011\rangle), \quad (1.3)$$

$$|\psi_3\rangle := \frac{1}{\sqrt{\cosh(2\beta)}}(e^\beta|111\rangle + e^{-\beta}|101\rangle), \quad |\psi_4\rangle := \frac{1}{\sqrt{2}}(|110\rangle + |100\rangle). \quad (1.4)$$

- Local Hamiltonian:  $H_i = \mathbb{1} - \sum_{n=1}^4 |\psi_n\rangle\langle\psi_n|_{i-1,i,i+1}$  (3-local).
- Hamiltonian:  $H = \sum_{i=1}^L H_i$
- GS (PBC):  $|\text{GS}\rangle \propto \sum_{\{\sigma\}} \exp\left(\frac{\beta}{2} \sum_i \sigma_i \sigma_{i+1}\right) |\{\sigma\}\rangle$ .
- Schmidt decomposition:  $|\text{GS}\rangle = \sum_{n=1}^4 \lambda_n |\psi_n\rangle_{i-1,i,i+1} \otimes |\phi_n\rangle_{\Lambda \setminus \{i-1,i,i+1\}}$



Determining whether a given state is a GS becomes easier in FF cases (if we already have a nice decomposition).

Examples of FF systems have explicit form of the GS for this reason.

In general, it is computationally hard to determine whether a given Hamiltonian is FF.

- If the decomposition is specified, it is a  $\text{QMA}_1$ -hard problem.  
[Bravyi, arXiv:quant-ph/0602108](https://arxiv.org/abs/quant-ph/0602108)
- There is a polynomial-time algorithm to search a nice decomposition (with looser restrictions on decomposition than  $k$ -locality.)

[Takahashi, Rayudu, Zhou, King, Thompson, Parekh, arXiv:2307.15688](https://arxiv.org/abs/2307.15688)

Non-trivial FF systems need degeneracy of locally favored states.

Let us consider

$$H = H_{12} \otimes \mathbb{1}_3 + \mathbb{1}_1 \otimes H_{23}, \quad (1.5)$$

where

$$H_{12} = \mathbb{1} - |\psi_{12}\rangle\langle\psi_{12}|, \quad H_{23} = \mathbb{1} - |\psi_{23}\rangle\langle\psi_{23}|. \quad (1.6)$$

If  $H$  is FF under this decomposition,

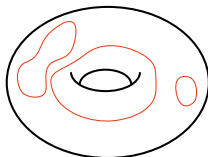
$$|\text{GS}\rangle = |\psi_{12}\rangle \otimes |\phi_3\rangle = |\phi_1\rangle \otimes |\psi_{23}\rangle = |\phi_1\rangle \otimes |\phi_2\rangle \otimes |\phi_3\rangle. \quad (1.7)$$

Thus GS must be a trivial tensor product state.

FF-ness is unstable under general perturbations.

FF Hamiltonians can approximate general gapped quantum phases.

- Many representative models of gapped phases.



Toric code:  $\mathbb{Z}_2$  topological order



AKLT model: Haldane phase

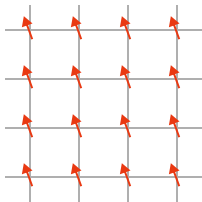
- The GS of a gapped Hamiltonian is also the GS of a (superpolynomially local) FF Hamiltonian. [Kitaev, Ann. Phys. 321\(1\), 2-111 \(2006\).](#)

# Gapped FF systems vs Gapless FF systems

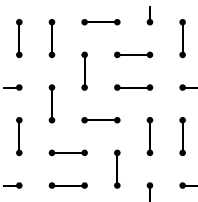
However, gapless FF systems exhibit different low-energy behaviors than typical gapless systems (as we will see).

FF gapless systems are useless as an approximation of gapless systems.

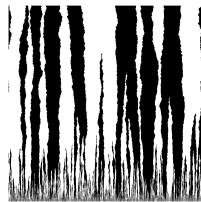
↔ FF gapless systems are interesting in their own right.



ferromagnetic Heisenberg



Rokhsar-Kivelson point



critical kinetic Ising

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We focus on **dynamic critical exponents**.

## Definition 3. Spectral gap

Let us take the ground state energy of  $H$  to be zero. The spectral gap  $\text{gap}(H)$  is the smallest nonzero eigenvalue of  $H$ .

## Definition 4. Dynamic critical exponent

For gapless systems, the dynamic critical exponent  $z$  is defined by

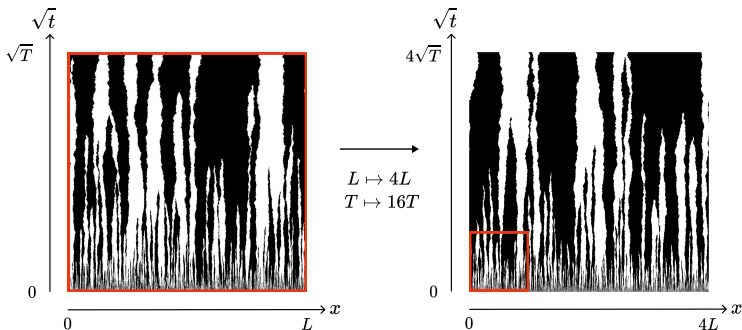
$$\text{gap}(H) \sim L^{-z} \quad (2.1)$$

where  $L$  is the linear size of the system.

- Typical gapless systems :  $z = 1$
- FF gapless systems :  $z \geq 2$  ( No complete proof )

Critical points with  $z$  are expected to have invariance under the Lifshitz scale transformation given by

$$x \mapsto \lambda x, \quad t \mapsto \lambda^z t, \quad (\lambda > 0). \quad (2.2)$$



Lifshitz scale invariance of the zero-temp. kinetic Ising model ( $z = 2$ ).

Gapless systems with  $z$  are expected to have the dispersion relation

$$E_k \sim k^z. \quad (2.3)$$

Conjecture: gapless FF systems have quadratic or softer dispersion.

[Masaoka, Soejima, Watanabe, PRB 110, 195140 \(2024\)](#)

- Coleman's theorem in the contexts of relativistic field theory: Spontaneous symmetry breaking (SSB) of continuous symmetries does not occur in 1+1D systems at  $T = 0$ .  
[Coleman, Commun.Math. Phys. 31, 259–264 \(1973\).](#)
- However, it can occur in 1+1D gapless FF systems because of the quadratic or softer dispersions. [Watanabe, Katsura, Lee, PRL 133, 176001 \(2024\)](#)

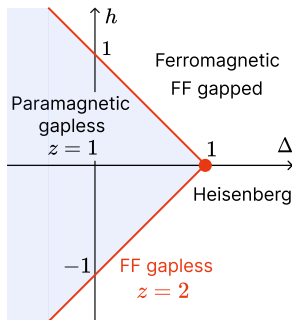


## Case study: XXZ model + magnetic field

$$\text{gapless FF} \Rightarrow z \geq 2$$

Let us check  $z \geq 2$  for gapless FF systems in specific examples.

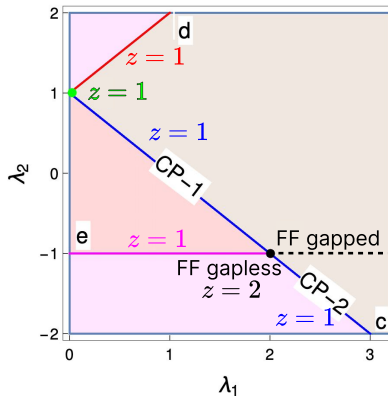
$$H = - \sum_{i=1}^L (X_i X_{i+1} + Y_i Y_{i+1} + \Delta Z_i Z_{i+1}) + 2h \sum_{i=1}^L Z_i + \text{const.}, \quad (2.4)$$



XXZ model with a magnetic field. For example, see the textbook by Franchini (2017).

## Case study: quantum Ising model + cluster interaction

$$H = - \sum_{i=1}^L (\lambda_1 Z_i Z_{i+1} + \lambda_2 Z_{i-1} X_i Z_{i+1}) + \sum_{i=1}^L X_i + \text{const.} \quad (2.5)$$



from [Kumar, Kartik, Rahul, Sarkar, Sci. Rep. 11, 1004 \(2021\)](#). modified

There are proofs of  $z \geq 2$  in the case of open boundary condition.

[Gosset, Mozgunov, J. Math. Phys. 57, 091901 \(2016\).](#)    [Anshu, PRB 101, 165104 \(2020\).](#)

[Lemm, Xiang, J. Phys. A: Math. Theor. 55 295203 \(2022\).](#)

These results do not give a rigorous bound for the bulk modes since there can be edge modes in OBC.



arXiv > cond-mat > arXiv:2406.06415

Condensed Matter > Strongly Correlated Electrons

*[Submitted on 10 Jun 2024]*

**Rigorous lower bound of dynamic critical exponents in critical frustration-free systems**

Rintaro Masaoka, Tomohiro Soejima, Haruki Watanabe

We show that  $z \geq 2$  for a wide range of FF gapless models without assuming any boundary conditions (but assuming additional assumptions).

The techniques needed for the proof had already established.

**Theorem 1. Gosset–Huang inequality** [Gosset, Huang, PRL 116, 097202. \(2016\)](#)

Let  $H$  be an FF Hamiltonian and

- $G$  : Projector onto the ground space,
- $\mathcal{O}_x, \mathcal{O}'_y$  : Local operators

Then

$$\frac{|\langle \text{GS} | \mathcal{O}_x (1 - G) \mathcal{O}'_y | \text{GS} \rangle|}{\|\mathcal{O}_x^\dagger | \text{GS} \rangle\| \|\mathcal{O}'_y | \text{GS} \rangle\|} \leq 2 \exp \left( -C |\mathbf{x} - \mathbf{y}| \sqrt{\text{gap}(H)} \right), \quad (2.6)$$

where  $C$  is a positive constant.

(Gosset and Huang were aware of the application to the gapless FF systems, but they did not demonstrate the scope of its applicability.)

**Definition 5. “Critical” FF systems**

We say that an FF system is critical, if there exists a correlation function such that

$$|\mathbf{x} - \mathbf{y}| \sim L \quad \text{and} \quad \frac{|\langle \text{GS} | \mathcal{O}_{\mathbf{x}} (\mathbb{1} - G) \mathcal{O}'_{\mathbf{y}} | \text{GS} \rangle|}{\|\mathcal{O}_{\mathbf{x}}^{\dagger} | \text{GS} \rangle\| \|\mathcal{O}'_{\mathbf{y}} | \text{GS} \rangle\|} \gtrsim \frac{1}{L^{\Delta}}, \quad (\Delta > 0). \quad (2.7)$$

**Corollary 1. Masaoka, Soejima, Watanabe [arXiv:2406.06415](https://arxiv.org/abs/2406.06415).**

Critical FF systems satisfy  $z \geq 2$ .

**Proof:** From the Gosset–Huang inequality,

$$\frac{1}{L^{\Delta}} \lesssim \frac{|\langle \text{GS} | \mathcal{O}_{\mathbf{x}} (\mathbb{1} - G) \mathcal{O}'_{\mathbf{y}} | \text{GS} \rangle|}{\|\mathcal{O}_{\mathbf{x}}^{\dagger} | \text{GS} \rangle\| \|\mathcal{O}'_{\mathbf{y}} | \text{GS} \rangle\|} \leq 2 \exp \left( -CL \sqrt{\text{gap}(H)} \right). \quad (2.8)$$

This inequality breaks for sufficiently large  $L$  if  $z < 2$ . □

Critical FF systems satisfy  $z \geq 2$ .

Our argument is highly general because we do not assume

- boundary condition
- spatial dimension
- structure of the lattice
- translational invariance

Also, our result can be extended to fermionic FF systems.

(Of course, we should explicitly construct an algebraic correlation function.)

## Our result: $z \geq 2$ for dynamic critical phenomena

We also prove  $z \geq 2$  for **dynamic critical phenomena**, leaving the contexts of quantum systems.

Critical points	$z$ (numerical)	References
Ising (2D)	$2.1667(5) \geq 2$	<a href="#">Nightingale, Blöte, PRB 62, 1089 (2000).</a>
Ising (3D)	$2.0245(15) \geq 2$	<a href="#">Hasenbusch, PRE 101, 022126 (2020).</a>
Heisenberg (3D)	$2.033(5) \geq 2$	<a href="#">Astillero, Ruiz-Lorenzo, PRE 100, 062117 (2019).</a>
three-state Potts (2D)	$2.193(5) \geq 2$	<a href="#">Murase, Ito, JPSJ 77, 014002 (2008).</a>
four-state Potts (2D)	$2.296(5) \geq 2$	<a href="#">Phys. A: Stat. Mech. Appl. 388, 4379 (2009).</a>

Dynamic critical exponents of Markov processes relaxing to critical equilibrium states.

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### 3. Generalized Rokhsar–Kivelson Hamiltonians and Markov processes

We focus on a specific class of FF Hamiltonians.

#### Definition 6. (Generalized) Rokhsar–Kivelson Hamiltonian

$H^{\text{RK}} = \sum_i H_i^{\text{RK}}$  is a (generalized) RK Hamiltonian if

1. Hamiltonian is FF
2. GS is written as

$$|\Psi_{\text{RK}}\rangle = \sum_{\mathcal{C}} \sqrt{\frac{w(\mathcal{C})}{\mathcal{Z}}} |\mathcal{C}\rangle, \quad \mathcal{Z} = \sum_{\mathcal{C}} w(\mathcal{C}), \quad (3.1)$$

where  $w(\mathcal{C})$  is a Boltzmann weight of a classical statistical system.

3. The off-diagonal elements of  $H_i$  are non-positive

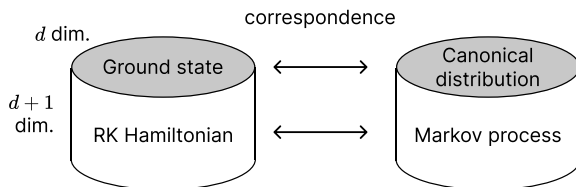
There are several names for this class: stoquastic FF Hamiltonian, stochastic matrix form, stochastic quantization.

# Correspondence between RK Hamiltonians and Markov processes

RK Hamiltonians correspond to Markov processes with local state updates and the detailed balance condition.

[Henley, J. Phys.: Condens. Matter 16 S891 \(2004\).](#)

[Castelnovo \*et al.\*, Ann. Phys. 318, 316 \(2005\).](#)



Correspondence between RK Hamiltonians and Markov processes.

# Correspondence between RK Hamiltonians and Markov processes

The correspondence is explicitly given by

$$(W_i)_{cc'} := -\sqrt{w(\mathcal{C})} (H_i^{\text{RK}})_{cc'} \frac{1}{\sqrt{w(\mathcal{C}')}}. \quad (3.2)$$

$W := \sum_i W_i$  is the transition-rate for the corresponding Markov process.

Correspondence between RK Hamiltonians and Markov processes

Imaginary-time Schrödinger eq. $d \psi(t)\rangle/dt = -H^{\text{RK}} \psi(t)\rangle$	Master eq. $dp(t)/dt = Wp(t)$
Ground state $ \Psi_{\text{RK}}\rangle = \sum_{\mathcal{C}} \sqrt{w(\mathcal{C})/\mathcal{Z}}  \mathcal{C}\rangle$	Steady state $p_{\text{eq}}(\mathcal{C}) = w(\mathcal{C})/\mathcal{Z}$
Symmetry $(H_i^{\text{RK}})_{cc'} = (H_i^{\text{RK}})_{c'c}$	<b>Detailed balance condition</b> $(W_i)_{cc'}w(\mathcal{C}') = (W_i)_{c'c}w(\mathcal{C})$
FF-ness $\langle \Psi_{\text{RK}}   H_i^{\text{RK}} = 0$	Probability conservation $\sum_{\mathcal{C}} (W_i)_{cc'} = 0$
Dynamic critical exponent $\text{gap}(H^{\text{RK}}) \sim L^{-z}$	Dynamic critical exponent $\tau := 1/\text{gap}(-W) \sim L^z$

## Example: 2+1D kinetic Ising model

### ■ 2+1D kinetic Ising model (Gibbs sampling)

Boltzmann weight:

$$w(\mathcal{C}) = \exp \left( \beta \sum_{\langle i,j \rangle} \sigma_i \sigma_j \right) \quad (\sigma_i = \pm 1). \quad (3.3)$$

The Gibbs sampling (heat bath) algorithm is given by

$$(W_i)_{\mathcal{C}'\mathcal{C}} = -(W_i)_{\mathcal{C}\mathcal{C}'} = \frac{w(\mathcal{C}')}{w(\mathcal{C}) + w(\mathcal{C}')}, \quad (3.4)$$

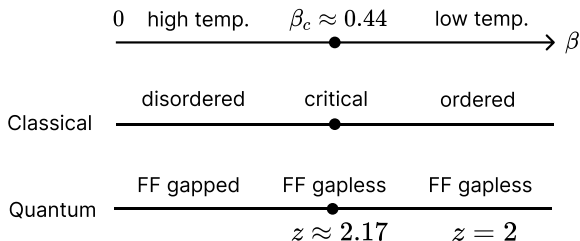
where  $|\mathcal{C}'\rangle := \sigma_i^x |\mathcal{C}\rangle$ . We do not assume any conserved quantity (model A).

The corresponding RK Hamiltonian is

$$H_i^{\text{RK}} = \frac{1}{2 \cosh(\beta \sum_{j \sim i} Z_j)} \left( e^{-\beta Z_i \sum_{j \sim i} Z_j} - X_i \right). \quad (3.5)$$

## Example: 2+1D kinetic Ising model

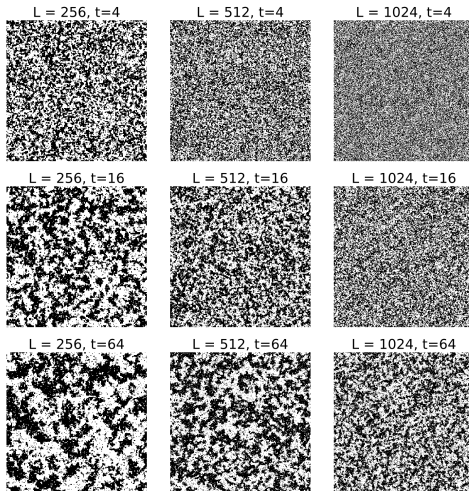
The quantum phase diagram is obtained from the classical phase diagram.



We focus on the critical point (ordered phase is another interesting topic).

## Example: 2+1D kinetic Ising model

At  $\beta = \beta_c \approx 0.44$ , the relaxation time diverges as  $L \rightarrow \infty$ . ( $z \approx 2.17$ )



Markov Chain Monte Carlo simulation for 2+1D kinetic Ising model

## Dynamic critical exponents for various critical points

Critical points	$z$ (numerical)	References
Ising (2D)	$2.1667(5) \geq 2$	<a href="#">Nightingale, Blöte, PRB 62, 1089 (2000).</a>
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Dynamic critical exponents of RK Hamiltonians of critical points

RK Hamiltonians of critical points, called conformal quantum critical points (CQCP), seemed to satisfy  $z \geq 2$ .

- Conjectured in [Isakov, Fendley, Ludwig, Trebst, Troyer, PRB 83, 125114 \(2011\).](#)
- Previous rigorous result:  $z \geq 2 - \eta$ . [Halperin, PRB 8, 4437 \(1973\).](#)

**Theorem 2.** Masaoka, Soejima, Watanabe [arXiv:2406.06415](https://arxiv.org/abs/2406.06415).

RK Hamiltonians of critical points (CQCPs) satisfy  $z \geq 2$ .

Our framework: If there is a correlation function such that

$$|x - y| \sim L, \quad \frac{|\langle \Psi | \mathcal{O}_x (1 - G) \mathcal{O}'_y | \Psi \rangle|}{\|\mathcal{O}_x^\dagger | \Psi \rangle\| \|\mathcal{O}'_y | \Psi \rangle\|} \gtrsim \frac{1}{L^\Delta}, \quad (3.6)$$

then  $z \geq 2$ .



## $z \geq 2$ for conformal quantum critical points

Let us explicitly construct an algebraic correlation function to prove  $z \geq 2$ .

Quantum classical correspondence for a diagonal operator  $O(\mathcal{C})\delta_{\mathcal{C}\mathcal{C}'}$  :

$$\langle \Psi_{\text{RK}} | O | \Psi_{\text{RK}} \rangle = \sum_{\mathcal{C}} \frac{O(\mathcal{C})w(\mathcal{C})}{\mathcal{Z}} =: \langle O \rangle. \quad (3.7)$$

There is an operator  $O_i$  such that

$$\langle O_i \rangle = 0, \quad \langle O_i^2 \rangle = \text{const.}, \quad \langle O_i O_j \rangle \sim \frac{1}{|\mathbf{x}_i - \mathbf{x}_j|^{2\Delta_O}}, \quad (3.8)$$

where  $\Delta_O$  is the scaling dimension of  $O_i$ . Thus, if  $|\mathbf{x}_i - \mathbf{x}_j| \sim L$ ,

$$\frac{|\langle \Psi_{\text{RK}} | \mathcal{O}_i (1 - G) \mathcal{O}_j | \Psi_{\text{RK}} \rangle|}{\|\mathcal{O}_i | \Psi_{\text{RK}} \rangle\| \|\mathcal{O}_j | \Psi_{\text{RK}} \rangle\|} = \frac{|\langle \mathcal{O}_i \mathcal{O}_j \rangle - \langle \mathcal{O}_i \rangle \langle \mathcal{O}_j \rangle|}{\langle \mathcal{O}_i^2 \rangle} \sim L^{-2\Delta_O}. \quad (3.9)$$

Here, we assumed  $G = |\Psi_{\text{RK}}\rangle\langle\Psi_{\text{RK}}|$  for simplicity.

Therefore,  $z \geq 2$ .

Rephrasing the theorem in the language of Markov processes, we obtain the following no-go theorem.

### No-go theorem

Markov processes for critical points with local state updates and the detailed balance condition satisfy  $z \geq 2$ .

→ First proof of an empirical fact known in the contexts of dynamic critical phenomena.

We can consider more general ground states with a phase factor:

$$|\text{GS}\rangle = \sum_{\mathcal{C}} e^{i\theta(\mathcal{C})} \sqrt{\frac{w(\mathcal{C})}{\mathcal{Z}}} |\mathcal{C}\rangle, \quad \theta(\mathcal{C}) \in \mathbb{R}. \quad (3.10)$$

#### ■ Fine-tuned Fibonacci Levin Wen model

[Fendley, Fradkin, PRB 72, 024412 \(2005\).](#), [Fendley, Ann. Phys. 323\(12\), 3113-3136 \(2008\).](#)

- $w(\mathcal{C})$  represents  $c = 14/15$  CFT.
- GS shows algebraic correlations.
- It cannot be mapped to a Markov process due to the sign problem.

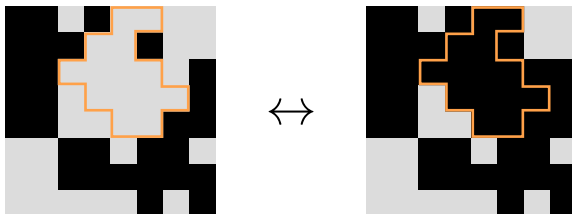
We can show  $z \geq 2$  also in this case since phases  $\pm\theta(\mathcal{C})$  cancel in correlation functions of diagonal operators.

## Stochastic dynamics with $z < 2$

By violating the assumptions in the no-go theorem, one can create Markov processes with faster relaxation with  $z < 2$ .

■ Wolff cluster algorithm [Wolff, PRL. 62, 361 \(1988\)](#).

Locality: ✗, Detailed balance condition: ✓



State update of the Wolff cluster algorithm

$z \approx 0.3$  for the 2D Ising critical point. [Liu et al. PRB 89, 054307 \(2014\)](#).

## ■ Asymmetric simple exclusion process (ASEP)

Locality: ✓, Detailed balance condition: ✗

XXZ model with a non-Hermitian term:

$$H_i = \frac{1}{4}(1 - \Delta Z_i Z_{i+1}) - \frac{1+s}{2}\sigma_i^+ \sigma_{i+1}^- - \frac{1-s}{2}\sigma_i^- \sigma_{i+1}^+ + \frac{s}{2}(Z_i - Z_{i+1}) \quad (3.11)$$

$\Delta < 1$ : Gapless phase ( $z = 1$ )

$\Delta > 1$ : Gapped phase

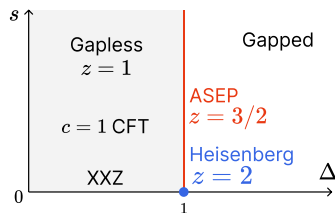
$\Delta = 1$ : Stochastic line

- $s = 0$ : Heisenberg ( $z = 2$ , EW class)

- $s > 0$ : ASEP ( $z = 3/2$ , KPZ class)

Kim, PRE 52, 3512 (1995).

Gwa, Spohn, PRA 46, 844 (1992).



Phase diagram of XXZ model with a non-Hermitian term.

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FF models are expected to flow into FF effective field theories.

**Definition 7. Frustration-free field theory (FFFT)**

A field theory is FF if the Hamiltonian density  $\mathcal{H}(x)$  is positive semi-definite and

$$\forall x, \mathcal{H}(x)|\text{GS}\rangle = 0. \quad (4.1)$$

In the following slides, we look at some examples of FF field theories.

Topological quantum field theories are FF.

e.g. Chern–Simons theory:

$$S_{\text{CS}}[A] = \frac{k}{4\pi} \int dt d^2x \varepsilon^{\mu\nu\lambda} \text{Tr} \left[ A_\mu \partial_\nu A_\lambda + \frac{2}{3} A_\mu A_\nu A_\lambda \right]. \quad (4.2)$$

Hamiltonian density:

$$\mathcal{H}(x) = e^2 \text{Tr} [E^\dagger(x)E(x)], \quad E(x) = \frac{\delta}{\delta A_z(x)} - \frac{k}{4\pi} A_{\bar{z}}(x). \quad (4.3)$$

GS wave functional  $\Psi[A]$  satisfies  $E(x)\Psi[A] = 0 \Rightarrow \text{FF}$ .

Another derivation

$$\mathcal{H}(x) = -\frac{2}{\sqrt{|g|}} \frac{\delta S_{\text{CS}}[A, g]}{\delta g_{00}(x)} = 0. \quad (4.4)$$



## Leeh-Schlieder theorem

Relativistic field theories satisfy

$$\mathcal{O}(x)|\text{GS}\rangle = 0 \Rightarrow \mathcal{O}(x) = 0, \quad (4.5)$$

where  $\mathcal{O}(x)$  is a local operator.

## Corollary

Relativistic field theories are not FF except for the case of  $\mathcal{H}(x) = 0$ .

We can construct the  $d + 1$ -dim. FFFT from a  $d$ -dim. field theory by **stochastic quantization** ( $\approx$  RK Hamiltonians ).

Parisi, Wu, Sci. sin, 24(4), 483-496, (1981), [Dijkgraaf, Orlando, Reffert, arxiv:0903.0732 \(2009\)](#)

Let us consider the following master equation (Fokker–Planck equation).

$$\begin{aligned}\frac{\partial}{\partial t} P[\phi, t] &= W P[\phi, t] \\ &= \frac{1}{2} \int d^d x \frac{\delta}{\delta \phi(x)} \left( \frac{\delta S_{\text{cl}}}{\delta \phi(x)} + \frac{\delta}{\delta \phi(x)} \right) P[\phi, t],\end{aligned}\quad (4.6)$$

where

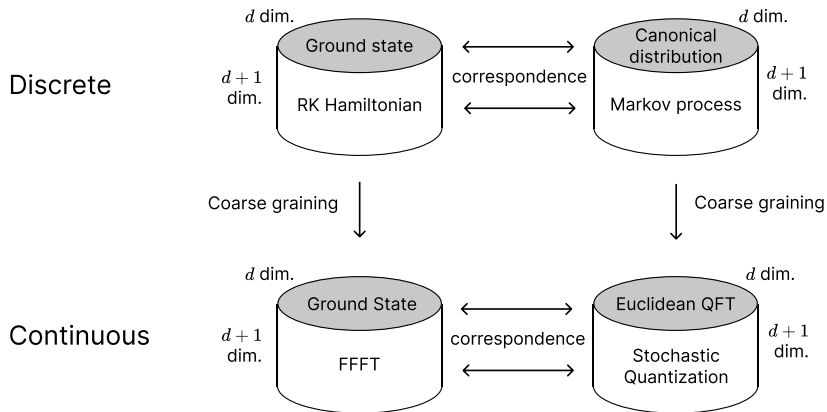
- $P[\phi, t]$  is a probability distribution,
- $S_{\text{cl}}[\phi]$  is the action of an Euclidean field theory.

Correspondence between Hamiltonian and transition-rate:

$$H = -\frac{1}{\sqrt{e^{-S_{\text{cl}}}}} W \sqrt{e^{-S_{\text{cl}}}} = \int d^d x \mathcal{H}(x), \quad (4.7)$$

where

$$\mathcal{H}(x) = \frac{1}{2} \mathcal{Q}^\dagger(x) \mathcal{Q}(x), \quad \mathcal{Q}(x) := \frac{\delta}{\delta \phi(x)} + \frac{1}{2} \frac{\delta S_{\text{cl}}}{\delta \phi(x)}. \quad (4.8)$$



We can construct the  $d + 1$ -dim. gapless FFFT from a  $d$ -dim. CFT.  
These theories are considered to be the effective field theories of CQCPs (RK Hamiltonians of critical points).

Our results provide **microscopic proof** of  $z \geq 2$  for the stochastic quantization of a CFT.

However, **macroscopic understanding is still lacking.**

1. Introduction
2. Rigorous lower bound on dynamic critical exponents
3. Generalized Rokhsar–Kivelson Hamiltonians and Markov processes
4. Frustration-free field theory
5. Summary and open questions

Our study highlights the unique nature of the gapless FF systems. We have established  $z \geq 2$  for dynamic critical exponents of various FF systems:

- Conformal quantum critical points. (Stochastic quantization of CFT)
- FF systems with a plane-wave ground state.
- FF systems with a hidden correlation.

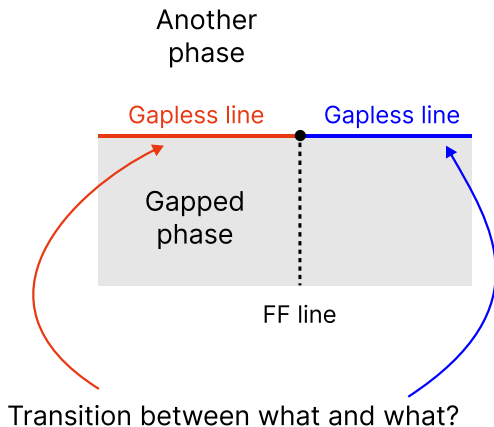
Also, we established  $z \geq 2$  for Markov processes with locality and detailed balance condition.

Complete proof of  $z \geq 2$  for gapless FF systems.

Is there a macroscopic proof of  $z \geq 2$ ?

How fast does non-Hermiticity (breaking detailed balance) speed up relaxation?





An interesting example is in [Verresen et al., PRX 11, 041059 \(2021\)](#).

$$H = - \sum_i (Z_i Z_{i+1} + X_i) \quad (5.1)$$

$$H' = - \sum_i (Y_i Y_{i+1} + X_i) \quad (5.2)$$

$$H(\lambda) = \lambda H + (1 - \lambda) H' \quad (0 \leq \lambda \leq 1). \quad (5.3)$$

This interpolation preserves  $\mathbb{Z}_2 \times \mathbb{Z}_2^T$  symmetry.

$$\begin{array}{ccccc}
 T\sigma T = +\sigma & & T\sigma T = -\sigma & & \\
 \text{Ising CFT} & \text{FF} & \text{Ising CFT} & & \\
 \hline
 \lambda = 0 & & \lambda = 1/2 & & \lambda = 1 \\
 & & z = 2 & & 
 \end{array}$$

THANK YOU.